Situations of work-related diseases and injuries among agriculturists in the upper northeast regions of Thailand

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Abstract

Background: Agriculturists exposed to health hazards are affected by increased occupational disease. This retrospective study aimed to investigate situations of work-related diseases and injuries among agriculturists in upper northeast Thailand.

Methods: The secondary data of international classification of diseases 10th revision (ICD-10) case reports of occupational disease among farmers, from the database of the Health Data Center (HDC), were used. The registered farmers' data was collected as a dataset from the provincial agricultural office and the data of the ICD-10 code, which was utilized from the hospital information system (HIS) of healthcare services in Udon Thani and Roi-Et provinces, was extracted for cases of work-related diseases and injuries of registered agriculturists. The annual morbidity rate of occupational diseases was analyzed and presented as a rate per 100,000 farmers.

Results: Among diseases of farmers in the HDC database, lung disease, which was not reported as an occupational disease of the HDC database, was the highest ranking of all diseases, followed by work-related musculoskeletal disorders (WMSDs), noise- and heat-related diseases, and pesticide toxicity, respectively, while the injury rate was as high as that of WMSDs. Those morbidity rates of Roi-Et and Udon Thani provinces were representative of the morbidity ranking of diseases of the nation and had increasing trends from 2014 to 2016. The number of farmers in the HDC database did not consistently reflect the number of registered farmers in the agricultural database.

Conclusions: Situations of work-related diseases and injuries discovered among registered farmers reflect the health problems of
Thai agriculturists, and the underestimation in the reported disease rate in the health database is explained by big data analysis, which showed that work-related cases with an identifying code of Y96 had rarely been reported among agriculturists. Therefore, the agriculturists should be supported in registration for occupational diseases and injuries surveillance.

Keywords
Big data, occupational disease, agriculturists, surveillance, ICD-10

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Introduction

Agriculture is an essential source of livelihood for developing countries. However, it can lead to poor health and is linked to the main causes of health hazards of agriculturists affected by infection, injuries, chronic exposure, and increased occupational disease. According to a global report on occupational diseases, the highest prevalence of such diseases was that of work-related musculoskeletal disorders (WMSDs), which ranged from 55.6-97.2%. The highest reported prevalence was in Korea (97.2%), followed by Saskatchewan province of Canada (85.6%), and Ireland (55.6%). The next most prevalent disease was heat-related disease, which ranged from 35.6-72.3%. The highest reported prevalence was found in Eastern North Carolina, USA, followed by Northeast Italy, and North Carolina, USA. Additionally, prevalences of hearing loss from noise were reported at levels of 16.9-36.1%, which were 16.9% in Korea and 36.1% in the USA, while work-related injuries were reported at a prevalence of 69.0% in Nepal. Infectious disease was reported in a range of 0.7-13.5%, where brucellosis cases in Greek farmers and livestock breeders corresponded to an annual incidence rate of 7.1 per 100,000 population, and a prevalence of 8.9% in the Western Cape of South Africa. Pesticide toxicity was reported at rates of 8.8-17.0%, which were 8.8% in China, and 17.0% in the Sunsari District of Nepal. Chronic lower respiratory tract disease was also reported as chronic obstructive pulmonary disease (COPD) or asthma and was found in a systematic review to be from 3.0% to 68.0%, as in France, where the rate of COPD was 9.5%, and South Africa, where the rate was 3.0% for asthma.

From survey results in 2019, it was found that the total number of employed persons in Thailand was 37.5 million persons. The number of workforce members who had no social security or were informal workers was about 20.4 million, or 54.3%. By region, those in informal employment working in the Northeast made up the largest proportion (34.9%), followed by Central Thailand (23.4%), the North (20.9%), and the South (14.0%). When the economic activities of those in informal employment were considered, it was found that more than half of all informally employed persons worked in the agriculture sector (about 11.5 million, or 36.4%).

In Thailand, the Health Data Center (HDC) (https://hdcservice.moph.go.th/hdc/main/index.php), which is the central health database system, collects and analyzes the disease surveillance system data of all healthcare services under the provincial public health office by using 43 files, or standard structured health data classified with ICD-10 codes for diseases and disorders, and records the patient’s information every time they receive services from officials. The health database of the HDC showed that the highest prevalence of disease between 2014 and 2016 was for work-related injuries (about 0.6%), followed by WMSDs (about 0.3%), noise-induced hearing loss and heat-related disease (0.2%), and pesticide toxicity (0.04%). There was no statistical report on work-related diseases which included respiratory symptoms or lung disease, and infectious disease on the health database of the HDC. In 2019, the prevalence of chronic lower respiratory tract disease was reported to be 1.8% in the 15-59 years old population.

The previous retrospective study in 2016, which showed a higher morbidity rate of all cases in the research in the Nongbualamphu province of Thailand, found the highest prevalence was for WMSDs (21.7%), followed by heat- and pressure-related diseases (5.2%). The pesticide toxicity was reported to be in the range of 0.14-0.18% of registered farmers, which can be compared to the previous prevalence rate of 48.48 per 100,000 registered farmers reported in Southern Roi-Et. The study also indicated a prevalence rate of infectious disease at 0.51%, with chronic lower respiratory tract disease (1.11%) and skin disease (1.5%) as additional diseases which occurred. The case-control study of registered agriculturists in Khon Kaen confirmed that the noise-induced hearing loss cases from the 43 health files data had been diagnosed through the audiometer test of those agriculturists. Work-related injuries had a prevalence of 20.1% among the farmers of Phayao province and the three-year morbidity rate of heat-related illness in our most recent report (2020) was 13.5 per 100,000 farmers, or 0.014%, in registered agriculturists of Khon Kaen province.

Those work-related diseases and injuries in Thailand were identified by the International Statistical Classification of Diseases and Related Health Problems 10th revision (ICD-10) (https://icd.who.int/browse10/2019/en) and the specified Y96 external code (work-related condition). Nowadays, however, some cases of agriculturists cannot practically be identified, and this leads to inconsistency in data, as seen above. This is because healthcare for agricultural workers in Thailand is not covered by labour legislation and as a result, they only access services from the National Health Security Office (NHSO) (https://eng.nhso.go.th/view/1/Home/EN-US), without claiming compensation for diseases.
related to agricultural activities. Although occupational disease case reports are important for enabling the surveillance system to carry out national health policy among agriculturists, there is still no representative morbidity rate of the upper northeast of Thailand. Therefore, this study aimed to investigate situations of work-related diseases and injuries among agriculturists in the upper northeast of Thailand by using the case study of Udon Thani and Roi-Et provinces.

Methods

Ethical approval
This study was approved by the Human Research Ethics Committee of Khon Kaen University (No. HE592154). The underlying data for this study is secondary data collected by the Health Data Center and agricultural registries and is restricted due to ethical and data protection considerations. Under the conditions of ethical approval from the Human Research Ethics Committee of Khon Kaen University (No. HE592154), participants’ data was not allowed to be shared and the secondary data of the health dataset and registered farmers’ dataset were utilized under this restriction. Further reuse of an individual’s information or inclusion data is not permitted under the ethically approved conditions for human research. This was confirmed for the protection of human participants’ data and privacy as no individuals gave explicit written consent that their identifiable data could be made publicly available.

Study design
This study was a retrospective analytic study that used secondary data from three sources, which were 1) The health database of the Health Data Center (HDC) of Thailand for the number of cases of occupational disease among agriculturists (by occupational code) who visited public healthcare service providers between 2014 and 2016, as detailed in the HDC data collected from public health region 7 (PH region 7) and public health region 8 (PH region 8), and Roi-Et and Udon Thani provinces in the upper northeast regions of Thailand; 2) The secondary dataset of the list of cultivating farmers who had registered with the provincial agriculture offices of Roi-Et (http://www.roiet.doae.go.th/) and Udon Thani provinces (http://www.udonthani.doae.go.th/) between 2014 and 2016, as reported in Form 04-2; and 3) The secondary data of ICD-10 utilized from the hospital information system (HIS) database, as detailed in the HIS data collected on those that sought routine care services in primary care units, secondary hospitals, and tertiary hospitals which were selected from the provinces of the pilot study areas (Roi-Et and Udon Thani).

Population and sample size
The sample size of the retrospective descriptive study was the total number of registered agriculturists of the studied area and all cases of occupational diseases who were farmers visiting healthcare units for health services in the studied areas of the upper Northeast of Thailand, during at three-year period from 1st January, 2014 to 31st December, 2016. The inclusion criteria for farmers from the HDC database were those registered under the occupational codes shown in Table 1. The registered agriculturists from the provincial agriculture offices of Roi-Et and Udon Thani provinces who were included in the population of this study met the inclusion criteria of cultivating farmer classifications according to agricultural activity during 2014-2016.

For analysis of the morbidity rate of occupational diseases among agriculturists in the upper northeast region, this study used two provinces as representative of the upper northeast of Thailand. The agriculturists from Roi-Et province were representative of PH region 7 and those of Udon Thani province were representative of PH region 8 of Thailand; they were chosen to represent the healthcare service visits for all cases among farmers in provinces of the northeast of Thailand.

<table>
<thead>
<tr>
<th>Occupational code</th>
<th>Meaning of occupational code</th>
</tr>
</thead>
<tbody>
<tr>
<td>6111</td>
<td>Farm growers, farmers</td>
</tr>
<tr>
<td>6112</td>
<td>Fruit tree or rubber planters, rubber growers</td>
</tr>
<tr>
<td>6113</td>
<td>Horticultural growers, ornamental flower planters, planters in a nursery, ornamental plant growers, gardeners, mushroom growers</td>
</tr>
<tr>
<td>6114</td>
<td>Mixed croppers</td>
</tr>
<tr>
<td>9211</td>
<td>Fruit collectors, vegetable farmers/growers</td>
</tr>
<tr>
<td>9213</td>
<td>Crop workers with animal husbandry</td>
</tr>
<tr>
<td>9214</td>
<td>Horticultural and ornamental planters, field mowers, planters</td>
</tr>
<tr>
<td>7544</td>
<td>Sprayers for pest control and insect control</td>
</tr>
</tbody>
</table>
and be compared to those of Thailand as a whole. All registered agriculturists of the two provinces were a representative population of agriculturists in agricultural provinces in Thailand as the nature of the impact from agricultural work was found to be similar across other areas of Thailand.

**Data collection**

**HDC data collection**

The health database reports at the national level and PH region 7 and PH region 8 levels in the northeast region of Thailand were collected by using the ICD-10 code of occupational disease used in reports to the HDC from 2014 to 2016. The data collected were the total number of planting farmers according to occupation code (Table 1) who had accessed healthcare in the area of interest and the number of cases of occupational disease reported to the HDC among farmers who met the inclusion criteria for planting farmers, with regard to four occupational diseases and injuries classified by ICD-10 (Table 2). The total number of farmers registered nationwide with the Ministry of Agriculture and Cooperatives and provincial agriculture offices was collected from the agricultural database.

**Data collection from the provincial agriculture offices of Roi-Et and Udon Thani provinces**

The data of the ICD-10 code and the registered agriculturists were combined as a big dataset for Roi-Et and Udon Thani provinces as representative provinces in the upper northeast regions of Thailand. The secondary data that was collected from provincial agriculture offices was a big dataset with the number and lists of agriculturists registered in the pilot study areas (Roi-Et and Udon Thani provinces) during 2014-2016. The records were explored and data was copied into an excel file by the information technology personnel with permission who were responsible for the database of each provincial agriculture office for use as data in this study. Reports included the identification number, name, gender, house address, farming activities, and planting areas of all farmers registered during 2014-2016 in Roi-Et and Udon Thani provinces who were cultivating farmers classified according to agricultural activity, i.e., cultivating rice, cassava, corn, soybean, or sugarcane, as reported in Form 04-2.

**HIS database, or 43 health files, data collection**

Another big dataset collected from the provincial public health offices was the ICD-10 code records of the health standards data structure (43 health files of file 10, file 15, and file 19) on cases visiting primary healthcare units (PCUs) and the secondary or tertiary hospitals in Roi-Et and Udon provinces for healthcare services from 2014 to 2016. Health-related information of registered agriculturists regarding cases with ICD-10 codes was listed in the 43 health files of the health standards data structure and standards used for the hospital information systems (HIS) of the hospitals in Roi-Et and Udon Thani provinces: file 10 – diagnosis (OPD), file 15 – diagnosis (IPD), and file 19 – surveillance. The dataset of ICD-10 codes related to occupational disease and injuries data was explored and copied to an excel file or an SQL file from the provincial health database by using the permission code available only to the information technology personnel.

**Table 2. Inclusion and exclusion criteria for data from ICD-10 codes in occupational disease as identified by the HDC service reports for ICD-10 and the 43 health files obtained from the provincial public health office.**

<table>
<thead>
<tr>
<th>Occupational disease</th>
<th>ICD-10 codes</th>
<th>Notes*</th>
</tr>
</thead>
<tbody>
<tr>
<td>Toxicity from pesticides (pesticide toxicity)</td>
<td>T600, T601, T602, T603, T604, T608, T609</td>
<td>Excluded self-harm or suicide (external code of ICD-10: X68)</td>
</tr>
<tr>
<td>Chronic lower respiratory tract disease (lung diseases)</td>
<td>J40-J47</td>
<td>Considered the health service visitors in the age group of 15-59 years old of the HDC database and the registered farmers (from the provincial agriculture office) of the 43 health files</td>
</tr>
<tr>
<td>Work-related injuries (injuries)</td>
<td>S00-S99, T00-T35</td>
<td>*Considered with an external code where the 5th digit (activities) was 2 (work in a career) or missing</td>
</tr>
<tr>
<td>Hearing loss from noise and heat-related disease (noise and heat diseases)</td>
<td>H833, H903-H905, T670-T679</td>
<td></td>
</tr>
<tr>
<td>Work-related musculoskeletal disorders (WMSDs)</td>
<td>M00-M99, G560</td>
<td>*Considered when the additional code was Y96 (occupation) or missing</td>
</tr>
</tbody>
</table>

*Additional code consideration or exclusion is correlated with the definition of work-related diseases or injuries.

*In the cases where the 5th digit was missing or was 2 (activities, 2) or the code was Y96, they were also countable as ICD-10 cases from main code consideration of occupational diseases or injuries among registered farmers from provincial agriculture offices.
of the organisation. Data of the ICD-10 codes, accessed with permission and restricted use, was extracted from the 43 health files data based on identifiers of individuals registered as agriculturists with the Provincial Agriculture Office of Roi-Et or Udon Thani and sorted by using the hardware and installed software of the computer, which were a CPU @ 1.99 GHz, installed RAM of 16.0 GB, 64-bit operating system, x64-based processor, and software including Windows 10 or higher and Microsoft Office (Excel program) 2016 or higher licensed by Khon Kaen University. The data was screened for duplicate registration of farmers or disease accounts, and any duplicates were removed; it was found that the final number of registered agriculturists in the period of 2014 to 2016 in Udon Thani province was 154,478, while that in Roi-Et was 207,465 farmers. The ICD-10 codes, which met the inclusion and exclusion criteria outlined in Table 2 for occupational disease and injuries, were considered for analysis of occupational diseases among the registered agriculturists. After data collaboration, the data of registered farmers and accounted ICD-10 codes among the registered farmers was explored and used for the analysis of morbidity rate per 100,000 registered farmers.

Data analysis
STATA version 10.0 software (https://www.stata.com/) (StatCorp LLC: College Station, TX) was used for all statistical analyses in this study. A freely accessible alternative software which could be used to complete the statistical analyses in this study is program R for statistical computing (https://www.r-project.org/). Categorical data were presented as numbers and percentages to estimate the annual morbidity rate of an occupational disease with a confidence interval (95% confidence interval) among registered agriculturists from 2014-2016. The data of occupational diseases among planting farmers or registered agriculturists was analyzed for morbidity rate as per the following formula:24

\[
\text{Morbidity rate} = \frac{\text{Number of cases reported among farmers or registered agriculturists in an area of interest}}{\text{Total number of farmers or registered agriculturists in an area of interest}} \times 100,000
\]  

In cases where the 5th digit was missing or was 2 (activities, 2) for work-related injuries or the code was Y96 for work-related musculoskeletal disorders (WMSDs), they were also countable as ICD-10 cases of occupational diseases or injuries among registered farmers from provincial agriculture office by considering the main ICD-10 code.

The underlying data (TABLE A B C D: Situations of work-related diseases and injuries among agriculturists in the upper northeast regions of Thailand) of this study is available in the underlying data statement.49

Results
From 2014-2016, the number of registered farmers, according to the provincial agriculture offices in Roi-Et and Udon Thani provinces, was 207,465 and 154,478, respectively. Among those registered farmers, there were 53,794 (34.82%) total cases who visited for health services in Udon Thani, and 77,438 (37.32%) total cases in Roi-Et during 2014-2016. As for the number of farmers from the HDC database, the number of HDC farmers varied depending upon the types of diseases or injuries as well as the number of cases of diseases, as shown in Table 3. The highest number of farmers accessed healthcare due to cases of noise- and heat-related disease in Roi-Et (82,458) and Udon Thani (1,324,168). According to the HDC, the number of farmers who accessed healthcare services for injuries in each year varied; it was shown that in 2016, there was a total of 12,580,864, 663,729, and 41,350 farmers who visited healthcare services nationally, in Udon Thani province, and in Roi-Et province, respectively. The total number of registered farmers in Thailand recorded by the Ministry of Agriculture and Cooperatives in 2016 was 4,178,064.32

<table>
<thead>
<tr>
<th>Occupational disease</th>
<th>Area/region</th>
<th>Number of cases</th>
<th>Number of HDC farmers</th>
<th>Number of registered farmers</th>
<th>Morbidity rate per 100,000 HDC farmers</th>
</tr>
</thead>
<tbody>
<tr>
<td>Noise- and heat-related diseases</td>
<td>Nation</td>
<td>25,370</td>
<td>24,293,821</td>
<td>4,178,064</td>
<td>104.43</td>
</tr>
<tr>
<td></td>
<td>Roi-Et</td>
<td>71</td>
<td>82,458</td>
<td>207,465</td>
<td>86.10</td>
</tr>
<tr>
<td></td>
<td>Udon Thani</td>
<td>1,326</td>
<td>1,324,168</td>
<td>154,478</td>
<td>100.14</td>
</tr>
</tbody>
</table>

Table 3. Health Data Center (HDC) morbidity rates (per 100,000 farmers) of occupational diseases and injuries, and comparison of the number of farmers from the HDC database and the number of registered farmers in 2016.
The morbidity rates of occupational diseases and injuries in Thailand, i.e., WMSDs, noise- and heat-related diseases, pesticide toxicity and injuries (per 100,000 farmers) increased between 2014 and 2016, according to the HDC database. According to national results, the results of PH region 8 and Udon Thani supported this trend of increasing morbidity rate (as shown in Figure 1). Noise- and heat-related diseases and injuries increased every year in all regions, which is a trend also supported by the rates from Roi-Et province and PH region 7.

### Table 3. Continued

<table>
<thead>
<tr>
<th>Occupational disease</th>
<th>Area/region</th>
<th>Number of cases</th>
<th>Number of HDC farmers†</th>
<th>Number of registered farmers‡</th>
<th>Morbidity rate per 100,000 HDC farmers*</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pesticide toxicity</td>
<td>Nation</td>
<td>4,293</td>
<td>12,157,336</td>
<td>4,178,064</td>
<td>35.31</td>
</tr>
<tr>
<td></td>
<td>Roi-Et</td>
<td>6</td>
<td>40,993</td>
<td>207,465</td>
<td>14.64</td>
</tr>
<tr>
<td></td>
<td>Udon Thani</td>
<td>184</td>
<td>663,389</td>
<td>154,478</td>
<td>27.74</td>
</tr>
<tr>
<td>Injuries</td>
<td>Nation</td>
<td>75,612</td>
<td>12,580,864</td>
<td>4,178,064</td>
<td>601.01</td>
</tr>
<tr>
<td></td>
<td>Roi-Et</td>
<td>147</td>
<td>41,350</td>
<td>207,465</td>
<td>355.50</td>
</tr>
<tr>
<td></td>
<td>Udon Thani</td>
<td>2,837</td>
<td>663,729</td>
<td>154,478</td>
<td>427.00</td>
</tr>
<tr>
<td>Lung diseases**</td>
<td>Nation</td>
<td>-</td>
<td>-</td>
<td>4,178,064</td>
<td>1,129.00</td>
</tr>
<tr>
<td></td>
<td>Roi-Et</td>
<td>3,852</td>
<td>252,223</td>
<td>207,465</td>
<td>1,527.20</td>
</tr>
<tr>
<td></td>
<td>Udon Thani</td>
<td>6,995</td>
<td>846,456</td>
<td>154,478</td>
<td>826.40</td>
</tr>
</tbody>
</table>

†The number of planting farmers as per the occupational code from the Health Data Center (HDC) database.  
‡The number of registered farmers recorded by the Ministry of Agriculture and Cooperatives.  
*HDC morbidity rate per 100,000 HDC farmers.  
**There was no national report available for this occupational disease category from the HDC.

The morbidity rates of occupational diseases and injuries in Thailand, i.e., WMSDs, noise- and heat-related diseases, pesticide toxicity and injuries (per 100,000 farmers) increased between 2014 and 2016, according to the HDC database. According to national results, the results of PH region 8 and Udon Thani supported this trend of increasing morbidity rate (as shown in Figure 1). Noise- and heat-related diseases and injuries increased every year in all regions, which is a trend also supported by the rates from Roi-Et province and PH region 7.

![Figure 1](image-url)  
**Figure 1.** Morbidity rate per 100,000 farmers, classified according to occupational diseases and injuries recorded by the Health Data Center (HDC) of Thailand from 2014 to 2016.
Morbidity rate of work-related disease and injuries among farmers who visited healthcare service providers

This study analyzed the rate of chronic lower respiratory symptoms (J40-J47) as one category of lung diseases among farmers between 2014 and 2016. This category was analyzed in this manner following the categories of the top five most prevalent occupational diseases among crop planting farmers, as shown in Table 2. The disease was not directly reported as representative of a kind of occupational disease classified in the HDC database, but there was a data report available with groups categorized by age: 5-9 years, 10-14 years, 15-59 years, and 60 years or more, which could be used to account for the cases of agriculturists of workforce age. In 2016, the national rate of lung disease was 1,129.0 per 100,000 farmers, and the highest rate was found in Roi-Et (1,527.2), which was higher than the national rate. The rate of lung disease was followed in order by the rates of injuries, WMSDs, noise- and heat-related diseases, and pesticide toxicity, respectively, and there was a higher overall morbidity rate in Udon Thani compared to Roi-Et. In Roi-Et, injuries were found to be at the highest rate (319.4 per 100,000 farmers) in 2014, which was followed by WMSDs (41.0), pesticide toxicity (11.4), and noise- and heat-related diseases (6.8). Meanwhile, the top-ranking rate of Udon Thani was that of WMSDs (405.3), which was followed by those of noise- and heat-related diseases (47.4), and pesticide toxicity (12.8), while the injuries rate was 400.0. In 2015, apart from injuries, lung disease (1,359.3 per 100,000 farmers) had the top-ranking morbidity rate of diseases among farmers in Roi-Et, followed by those of noise- and heat-related diseases (67.3), WMSDs (62.7), and pesticide toxicity (14.5), according to the HDC. Meanwhile, in Udon Thani, the morbidity rate of lung disease was the highest (831.6), followed by those of WMSDs (296.8), and noise-and heat-related diseases (88.0). One similarity that was found during 2014-2016 was that the morbidity rates of Udon Thani, or PH region 8, in this year were mostly close to the national rate. In 2016, the morbidity rate of chronic lower respiratory symptoms (lung diseases) was the highest among all diseases and injuries in Roi-Et (1,527.2 per 100,000 farmers) and was also shown to be the highest among all diseases and injuries when compared to other regions and the nation (Figure 1, see underlying data: TABLE A).

Even though the number of cases of diseases was stable, the number of people who had a recorded occupation of farmer in the HDC database and the number of registered farmers were sometimes different. Udon Thani as well as the nation always had a higher recorded number of farmers in comparison to the registered number of farmers, which contrasted with Roi-Et, as shown in Table 3.

In 2016, all rates of Udon Thani were higher than those of Roi-Et, i.e., a 5.89, 1.16, 1.89, and 1.20 times higher rate of WMSDs, noise- and heat-related diseases, pesticide toxicity, and injuries, respectively.

Although the number of registered farmers of Roi-Et Province was higher than that of Udon Thani, the number of recorded cases, or number of farmers visiting health service providers was lower compared to Udon Thani, for all diseases and injuries (Table 3). The morbidity rate of disease was higher in Udon Thani in comparison to Roi-Et. It was found that the morbidity rates of the nation and Udon Thani Province had increased similarly.

When comparing the rate of occupational disease and injuries among registered farmers in 2016 to the rate of farmers who visited health service providers, a higher rate was found in the registered farmers for WMSDs, noise- and heat-related diseases, and injuries (pesticide toxicity was scarcely different), especially in Roi-Et, where the rate was 27.58 times higher for injuries and 16.32 times higher for WMSDs. Regarding those who identified as farmers when visiting Udon Thani health service providers, they had a 1.76 times lower rate of WMSDs and a 15.83 times lower rate of injuries than registered farmers. Similarly, the rates of noise- and heat-related diseases among those who identified as farmers in Udon Thani and Roi-Et provinces were about two times lower in the HDC database, in comparison to the rates of registered farmers.

Morbidity rate of work-related disease and injuries of registered farmers who visited healthcare providers in 2016

According to the secondary dataset of the health standards data structure (43 health files) and the number of agriculturists registered with the provincial agricultural office during 2014-2016, without duplicated registration of farmers or disease accounts, the analysis showed the number of registered farmers from 2014 to 2016 in Udon Thani Province was 154,478, while that of Roi-Et was 207,465 farmers (see underlying data: TABLE C). By utilizing the 43 health files data of the ICD-10 codes of occupational cases and injuries during 2014 to 2016, it was found that there were 53,794 total cases (34.82%) of registered farmers who had accessed healthcare in Udon Thani, and 77,438 total cases (37.32%) in Roi-Et. Regarding the characteristics of those cases of registered farmers in Roi-Et and Udon Thani provinces, more than 60% were female. The largest proportion of cases in Roi-Et were working on rice plantations (98.26%), followed by cassava (1.12%), sugar cane (0.19%) and rubber (0.37%) plantations. Regarding cases in Udon Thani, most cases were working on rice plantations (89.56%), and the next highest proportions were working on the following plantations, i.e., cassava...
(4.97%), sugar cane (4.56%), rubber (0.24%), and soybean/corn (0.67%). Almost 80% of them worked in relatively small farming areas of less than 4 acres. Most of them (about 70%) produced less than 10 tons (see underlying data; TABLE D).49

The results of morbidity rates in 2016 are presented in Table 4. The ranking of morbidity rates of work-related disease among registered farmers was as follows: lung disease, WMSDs, noise- and heat-related diseases, and pesticide toxicity, i.e., 2781.7, 754.3, 221.7, and 8.7 in Roi-Et and 2,278.6, 479.1, 207.7, and 26.5 in Udon Thani, respectively. The rate of work-related injuries was more than four times higher compared to all rates of other diseases in Udon Thani and Roi-Et, as shown in Table 4.

The trend of work-related disease and injuries among registered agriculturists

From the 43 health files data, it was found that the morbidity rates among the registered agriculturists of Roi-Et were ranked in the following order, from highest to lowest, as follows: injuries, lung diseases, WMSDs, noise- and heat-related diseases, and pesticide toxicity, in every year from 2014 to 2016. The morbidity rate of registered agriculturists was not consistent with those who identified as farmers, as recorded by the HDC, in every year from 2014 to 2016. In 2016, registered agriculturists in Roi-Et had a higher morbidity rate of all diseases and injuries than those in Udon Thani, except pesticide toxicity. The rates and trends increased every year in this manner, except for pesticide toxicity, which had a lower rate in Roi-Et when compared to Udon Thani. In Udon Thani, the morbidity rates from the 43 health files were found to have the same ranking as Roi-Et for every health problem, and all those rates had increasing trends from 2014 to 2016.

The results from the HDC database showed that the morbidity rate of all diseases and injuries had an increasing trend, except that of WMSDs in Udon Thani, which remained constant, as shown in Figure 2.

Discussion

At present, the numbers and cases of work-related diseases and injuries reported among Thai farmers are likely to be lower than the true numbers because the health surveillance data of farmers or agricultural workers may not be accurate, as can be seen from the following findings and discussion.

By using registered farmers as the population for the morbidity rate calculation, which was different from using cases with a farmer’s occupational code as the population to calculate the rate from the HDC database, one could explain the inaccurate number of agriculturists found in healthcare service reports. The number of farmers from the HDC database may sometimes include duplicate recordings of farmers who visited healthcare providers more than once or cases may sometimes be missing the occupational code identification of an agriculturist. That inaccurate number of farmers from the HDC database has led to incorrect results of morbidity rate. Therefore, for the next step of analysis, it was necessary to study registered agriculturists for accounted cases of occupational disease among agriculturists.

Those results of work-related diseases and injuries, excluding lung disease, among registered agriculturists, from the two case studies of Udon Thani and Roi-Et provinces performed through analysis of the HDC database and the 43 health files data, showed the same ranking of morbidity rate, which was injuries, WMSDs, noise- and heat-related diseases, and pesticide toxicity.
pesticide toxicity, respectively. Consequently, both Udon Thani and Roi-Et were possibly representative of national rates. For the cases of lung diseases, the number of cases who had lung disease specific to the age group of 15 to 59 years of age was analyzed for its morbidity rate from the HDC database; this disease had not been reported in the HDC database as an occupational disease, as mentioned before. It was shown that the highest morbidity rate from our analysis was for chronic lower respiratory tract disease, or a specified lung disease among occupational diseases. However, when considering the morbidity rates (per 100,000 registered farmers) of diseases and injuries from 2014 to 2016 found in the 43 health files data, it was found that the highest morbidity rate from our analysis was not for a specified lung disease, which had not been found before with regard to occupational disease in the disease surveillance system of the Ministry of Public Health, Thailand. The high morbidity rate of occupational lung disease was not yet covered by the HDC database, and this might be explained by the fact that in disease diagnosis, lung disease was not found to have an external cause, which would require recoding to Y96 to specify an occupational disease group.

Some other diseases related to exposure to agrochemical hazards have been specified in previous studies, e.g., Parkinson’s disease, cancers of specific organs, e.g., lung, pancreas, lymphohematopoietic organs, bladder, prostate gland, or thyroid; gestational diabetes mellitus (GDM) or diabetes, etc. In covering those diseases to build up the surveillance system among agriculturists, some more investigation is still needed to confirm an association between each disease and a specific toxic substance used in pest control. Moreover, occupational lung disease should be considered in health surveillance of disease among farmers as per the previous report done by using a systematic review among Thai agriculturists.
Excluding lung disease, the three top-ranking rates across all regions and years were injuries and/or WMSDs, followed by hearing loss and diseases from heat, and pesticide toxicity, respectively. In addition, the rate of injuries was highest among all occupational diseases in Roi-Et, Udon Thani, and the nation. Udon Thani, which was chosen as a good representative province of PH Region 8, had a top-ranking rate which was the same as the nation, namely that of injuries, followed by those of WMSDs, noise- and heat-related diseases, and pesticide toxicity, respectively. These findings confirmed the ranking rate results found among registered crop farmers in Nong Bua Lamphu, one of the provinces in PH Region 8, Thailand; however, all morbidity rates of WMSDs were more than 10 times lower than those found in other countries in Asia, Canada, and Europe. With regard to noise- and heat-related disease, the rate found was 100 times lower compared to that of America, and the rates of injuries and pesticide toxicity were found to be extremely low when compared to those found in Nepal and China. The closest morbidity rate to global rates was that of the cases of lung disease, which was more than two times lower than that of South Africa. This finding of low morbidity rates from the health database of Thai agriculturists when compared to global rates might be explained by underestimation of the morbidity rates of occupational disease and injuries.

In cases of toxicity from pesticides in 2016, the morbidity rate in Roi-Et Province was lower than previously reported among Southern Roi-Et farmers during the same period, which could be explained by local agricultural behaviors and the fact that pesticide toxicity report cases depended on the disease surveillance of each regional public health service. Moreover, it was noteworthy that Roi-Et provincial-level case reports were very low in number compared to those of Udon Thani (Table 3).

The accuracy of the health databases varies based on the hospital level; for instance, data from hospitals at the provincial level are more accurate than those at the district level and all collected data in this study are summations of sources of data at the provincial level, which are sent to the Health Data Center at the national level. On the other hand, inaccuracies and actual missing data exist in Thailand, which is a major problem of diagnosing occupational diseases with a coding of Y96, and is one main problem that has been reported before. However, this study used a method of matching cases with an ICD-10 diagnosis code of individuals identified by national ID to cases of those who had a registered occupation of agriculturist; both types of cases can be found in databases which present the situation of occupational disease among agriculturists in Thailand.

According to the analysis of ICD-10 cases utilizing the 43 health files of the health standards data structure among registered farmers, the morbidity rate of WMSDs in Roi-Et was around double that of Udon Thani. In contrast, the morbidity rate of WMSDs in Udon Thani, according to the HDC database, was around 10 times higher than that of Roi-Et. Regarding this comparison of morbidity rates of WMSDs, namely the HDC database versus the 43 health files data records, the trends were found to be unclear. This might be explained by the fact that case reports of WMSDs are not only specific to farming work, or they have multifactorial causes. Another explanation might be the wrong or missing diagnostic coding of Y96, which signifies a specified occupational cause, as reported earlier regarding the health surveillance system in Roi-Et province. However, from our data of the cases extracted from the 43 files of the most recent year, 2020, it was confirmed that WMSDs had an increasing trend and a higher morbidity rate in Roi-Et than in Udon Thani province. Intriguingly, it was found that between the years 2014 and 2016, the province of Roi-Et had the fourth-highest tonnage of inland rice production in the country. In comparison to Udon Thani, Roi-Et’s in-season rice yield was approximately 2-3 times higher. This study discovered from the 43 health files data that the WMSDs rate in Roi-Et was greater than that in Udon Thani province, presumably because rice farming requires more body exertion in various agricultural activities throughout the year than farming of other crops, e.g., cassava and sugar cane, which is planted more in Udon Thani.

According to HDC database analysis, Udon Thani had a higher rate than Roi-Et with regard to injuries, diseases caused by noise and heat, and pesticide toxicity; increasing rates were also observed from 2014 to 2016 among Udon Thani and Roi-Et agriculturists. Those two occupational disease groups as well as injuries were confirmed as the major health problems among agriculturists in Thailand.

Infectious diseases, such as leptospirosis and melioidosis, had the highest prevalence in farmers, but there was no infectious disease group shown for occupational disease in the HDC database. This was not only the case in Thailand; a previous study in Poland showed a significantly higher rate in farmers who had been exposed to repeated tick bites, which corresponded to annual reports in Greece from 2004–2015. Moreover, brucellosis cases have been found in farmers and livestock breeders, with a high incidence rate of 7.1 per 100,000 population. From the HDC database, it was found that the ranking of the morbidity rates, in Roi-Et, in 2014, excluding that of lung disease, were as follows: morbidity rate of injuries, followed by that of WMSDs, pesticide toxicity and noise- and...
heat-related diseases, respectively. However, during 2015 and 2016, the morbidity rates changed in order. Meanwhile, the ranking order of morbidity rates of Udon Thani (2014-2016) was the morbidity rate of injuries, followed by that of WMSDs, noise- and heat-related diseases and pesticide toxicity, respectively. That explains why Roi-Et was unable to provide good representative data; the province had not reported data as forecast, which contrasted with Udon Thani province in this study. The morbidity rates from the 43 health files of the health standards data structure of Roi-Et province were congruent among registered farmers. The highest rate was for work-related injuries, followed by WMSDs, hearing loss and heat-related disease, and pesticide toxicity, respectively. Similar results were found in Udon Thani, where rates of work-related injuries, WMSDs, and noise- and heat-related disease were similar and close to the national rates. Hence, these results reveal that the provincial data of Udon Thani can be representative of the nation. The consistency of the database is shown by the top three highest morbidity rates of disease from the previous study of crop farmers in Nong Bua Lamphu province, which were shown to be WMSDs and noise- and heat-related disease, followed by skin irritation. Moreover, the number of reported cases was very different between Udon Thani and Roi-Et, and the morbidity rate of pesticide toxicity in Roi-Et was dramatically lower than that of the previous report from 2016-2018 among registered farmers in Sakon Nakhon, a province in PH Region 8. Those cases mentioning skin disease were suspect due to a combined effect in the group of pesticide toxicity, which is that of a reported acute symptom (irritant), and this confirmed the findings of previous studies.

Regarding agricultural productivity in the study area, the Office of Agricultural Economics reported that cassava, rubber, and rice had the highest productivity between 2016 and 2019, as found in the case characteristics of this study. That could support results concerning the significant increase in morbidity rates of all occupational diseases and injuries in Roi-Et from 2014 to 2016; this increase was also found in Udon Thani, particularly in regard to pesticide toxicity. Pesticide toxicity has been shown to be a potential disease of the agricultural sector in Thailand, and as discussed, that problem was exacerbated by the trend of increasing pesticide imports, particularly herbicide imports, during the 5-year period from 2013 to 2017. In comparison to Roi-Et province, Udon Thani has almost five times the production areas of cassava and Para rubber. This study reveals that Udon Thani had a higher increasing trend of morbidity rate for pesticide toxicity than Roi-Et province. Hence, it is clear that herbicides were being used continuously to treat the rubber and cassava while it was being harvested.

This disputition with strong evidence suggests that health surveillance of occupational disease among agriculture workers (informal workers) or Thai farmers should be carried out by 1) realizing diagnosis with a coding record of Y96, 2) improving the recording method of occupation so that it can be used practically for agriculturists, and 3) promoting the registration of agriculture workers on the health database. It was more than interesting that some have mentioned other diseases should be added to ICD-10 for occupational disease, i.e., lung disease, skin disease and infectious disease, for the occupational surveillance system.

Conclusions
The morbidity-rate ranking of work-related diseases and injuries, excluding lung diseases, among agriculturists in the upper northeast of Thailand was injuries, WMSDs, noise- and heat-related disease, and pesticide toxicity, respectively. Lung disease, which was not reported as an occupational disease on the HDC database, had the highest morbidity rate among occupational diseases in Roi-Et (2,781.7 per 100,000 farmers) and Udon Thani (2,278.6 per 100,000 farmers) from the 43 health files data. The national morbidity-rate ranking in 2016 (per 100,000 farmers) from the HDC database was injuries (601.0), work-related musculoskeletal disorders (WMSDs) (354.3), noise- and heat-related diseases (104.4), and pesticide toxicity (14.6), respectively. Those morbidity rates of Roi-Et and Udon Thani provinces were representative of the national morbidity ranking of diseases. When comparing the morbidity rates of diseases of farmers from the 43 health files data to those of the HDC database, the closest rates were found in the pesticide toxicity of Roi-Et (26.5 per 100,000 farmers) and Udon Thani (27.7 per 100,000 farmers). The rates of WMSDs in Roi-Et (754.3 per 100,000 farmers) and Udon Thani (479.1) from the 43 health files data were more than two times higher than those rates from the HDC database (Roi-Et: 46.2 and Udon Thani: 272.4 per 100,000 farmers), which was like that of noise-and heat-related diseases as well as that of injuries. All work-related diseases and injuries of the 43 health files data and almost all of those of the HDC data had increasing trends from 2014 to 2016. The number of farmers in the HDC database did not consistently reflect the number of registered farmers in the agricultural database. Situations of work-related diseases and injuries discovered among registered farmers reflect the health problems of Thai agriculturists, and the underestimation in the reported disease rate in the health database is explained by big data analysis, which showed that work-related cases with an identifying code of Y96 had rarely been reported among agriculturists. Therefore, recording an occupational cause when visiting health service providers should be promoted in the future, and Thai agriculturists should be supported in registration with healthcare databases for surveillance of various types of occupational diseases and injuries, including lung, skin and infectious diseases.
The underlying data for this study is secondary data collected by the Health Data Center and from agricultural registries, and its use is restricted due to ethical and data protection considerations. Under the ethical approval from the Human Research Ethics Committee of Khon Kaen University (No. HE592154), participants’ data was not allowed to be shared and the secondary data consisting of the health dataset and registered farmers’ dataset were utilized under this restriction. Further reuse of an individual’s information or inclusion data is not permitted under the ethically approved conditions for human research. This was confirmed for the protection of human participants’ data and privacy as no individuals gave explicit written consent that their identifiable data could be made publicly available. Regarding further information, there is no personally identifiable data in this research which is accessible because of the ethical condition that prohibits us from holding the personal data of each participant or sharing any data after closing the research study.

The raw dataset of ICD-10 codes, collected under conditions of permission access and restriction of use, is from the 43 health files lists of Roi-Et and Udon Thani provinces in the period from 2014 to 2016: file 10 – diagnosis (OPD), file 15 – diagnosis (IPD), and file 19 – surveillance, and the lists of registered agriculturists in Roi-Et and Udon Thani provinces during 2014-2016. To request access to the underlying data, please email the primary investigator (Sunisa Chaiklieng: csunis@kku.ac.th) in her position as the corresponding author. Applications will be reviewed on a case-by-case basis.

The intermediary data that can be de-identified without compromising anonymity are all presented already in the results and underlying data (TABLE A, B, C, D) listed below.

Open Science Framework (OSF): Situations of work-related diseases and injuries among agriculturists in the upper northeast regions of Thailand. https://doi.org/10.17605/OSF.IO/2JPZM.

The project contains the following underlying data:

- TABLE A B C D (Table 1 - data for Figure 1; Table B - data for Figure 2 that is related to HDC database; Table C - data for Figure 2 that is related to 43 Health files; TABLE D – data for characteristics of cases of work-related diseases and injuries)

Data are available under the terms of the Creative Commons Zero “No rights reserved” data waiver (CC0 1.0 Public domain dedication).

References

30. World Health Organization: Reference Source

32. Agricultural Extension Information Center of Thailand: Number of registered farmers. [cited 2020 Nov 6]. Reference Source
Open Peer Review

Current Peer Review Status: ✔ ✔

Version 3

Reviewer Report 09 May 2023

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Donald Cole
Dalla Lana School of Public Health, University of Toronto, Toronto, ON, Canada

No further input

Competing Interests: No competing interests were disclosed.

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.

Version 2

Reviewer Report 02 February 2023

https://doi.org/10.5256/f1000research.142425.r161208

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Donald Cole
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2 Dalla Lana School of Public Health, University of Toronto, Toronto, ON, Canada

Lots of explanations and improvements to your paper - many thanks. Your paper could still benefit from some assistance with English language editing.

Competing Interests: No competing interests were disclosed.
I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard, however I have significant reservations, as outlined above.

Author Response 03 May 2023

Sunisa Chaiklieng

Dear Prof. Donald Cole

This update version was improved from the second version for English proofreading/editing by University academic English specialist and native speaker. Thank you very much for your suggestion.

Competing Interests: No competing interests were disclosed.

Reviewer Report 24 January 2023

https://doi.org/10.5256/f1000research.142425.r161209

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Pornchai Sithisarankul

1 Department of Preventive and Social Medicine, Faculty of Medicine, Chulalongkorn University, Bangkok, Thailand
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I have no more comments.

Competing Interests: No competing interests were disclosed.

Reviewer Expertise: Occupational and environmental medicine/health, preventive medicine, public health

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.
Background - work-related diseases and injuries. -- so the result should show or address injuries data

1. Results - should show key findings in numbers

3. Registered farmers may not be the true population at risk, as discussed in Conclusions; moreover, this bias may not be equal in the 2 provinces

4. Other potential biases are - discrepancy of diagnoses and codings of the 2 provinces and across the 3-year period, different quality of diagnoses and codings of different levels of hospitals, etc. - these should be addressed and discussed

5. Be aware of the difference between 3-year report/statistics and 3 consecutive-year report/statistics

6. Neurotic hearing loss - I do not agree to use this term. There are sensorineural, conductive and mixed hearing losses.

7. Regarding each individual province, Roi-Et had an increasing trend for all diseases, for both data from the 43 health files data and data from the HDC, but a disease of Udon Thani had a clearly decreasing trend, -- I do not understand and am not sure which disease is "a disease of Udon Thani".

Is the work clearly and accurately presented and does it cite the current literature?
Yes

Is the study design appropriate and is the work technically sound?
Partly

Are sufficient details of methods and analysis provided to allow replication by others?
Yes

If applicable, is the statistical analysis and its interpretation appropriate?
Partly

Are all the source data underlying the results available to ensure full reproducibility?
Yes

Are the conclusions drawn adequately supported by the results?
Yes

**Competing Interests:** No competing interests were disclosed.

**Reviewer Expertise:** Occupational and environmental medicine/health, preventive medicine, public health

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard, however I have significant reservations, as outlined above.

Author Response 20 Dec 2022

Sunisa Chaiklieng

Dear Prof. Dr. Pornchai Sithisarankul

Thank you for your valuable comments, we have improved paper following your suggestions, as the revised version. The clarification for each question is following.

1. Background - work-related diseases and injuries - so the result should show or address

   **Answer:** This results and discussion of paper was improved to address injuries data as suggestion.

2. Results - should show key findings in numbers

   **Answer:** The results was improved to show key findings in numbers as suggestion.

3. Registered farmers may not be the true population at risk, as discussed in conclusions; moreover, this bias may not be equal in the 2 provinces

   **Answer:** The most recent agricultural census, conducted every ten years, was conducted in 2013. In each province of the nation, data collection will take place simultaneously by sending around 20,000 officers, 17,000 counting personnel/employees, 3,000 academic staff, and farmland holders/heads of each household to conduct interviews to compile fundamental data about agricultural operations. These details will be utilized to choose appropriate agricultural properties and conduct a meeting with qualified details (enumeration). By that reason, the registered farmers in Thailand are strongly representative agricultural farmers by an occupation, resulting on occupational hazards exposure and the true population at risk for this study, as we have done in the previous
studies and method [24] [25] [28]. In addition, among the registered farmers, the real strong point is the linking of the identified cases to the full farmers population approved by the Provincial Agriculture Office agriculture database, that to show the magnitude of risks of the agricultural farmers.

Moreover, the analysis in this study was accurate and have reliability by matching personality with the national ID to the health records of 43 files based on identifiers of individuals to another source of the registered farmers for Bigdata analysis. This method was done for previous studies [24, 25-26] which could show the risks of the Thai agricultural farmers on occupational diseases, particularly WMSDs, heat related illness or pesticide toxicity, that highly risks in agriculture were under-estimated from the previous report accounted 2-10 times of health database as in this study report. Any bias which might not be equal in the 2 provinces, we mentioned in the discussion to this serious and lack or difficulty to get support of recording occupational disease may have caused non-reporting as in the discussion.

4. Other potential biases are - discrepancy of diagnoses and codings of the 2 provinces and across the 3-year period, different quality of diagnoses and codings of different levels of hospitals, etc. these should be addressed and discussed.

Answer: The discussion was added for those issues, it was discussed that the accuracy of the health databases varies on the hospital level; for instance, the provincial level is more accurate than the district level and all collecting data in this study are summation at the provincial level sources of data, where sending data to health data center of a country level. Whereas inaccuracies and actual missing data exist in Thailand is the major problem of diagnosing occupational diseases coding of Y96, which is one main problem as we discussed (Discussion section). However, this study method of analysis, we matched case of ICD10 diagnosis code to the registered agriculturist occupation (more by national ID. Both databases are the best representative for presentation the situation of Occupational diseases among agriculturists in Thailand.

The only potential bias could be by quality of diagnoses and coding of different levels of hospitals which was addressed in discussion section according to the forecast which might occur and missing diagnostic coding in case of WMSDs, moreover, pesticide toxicity report cases depended on the disease surveillance of each regional public health service. 44

5. Be aware of the difference between 3-year report/statistics and 3 consecutive-year report/statistics

Answer: We aware of the difference, all parts in this paper and underlying data were rechecked and replaced with the correct term to present in this paper, for example, from 2014 to 2016, in 2016, thank you for this recommendation.

6. Neurotic hearing loss - I do not agree to use this term. There are sensorineural, conductive and mixed hearing losses.

Answer: This term was replaced with noise-induced hearing loss or shortly hearing loss
following the HDC database and definition.

7. Regarding each individual province, Roi-Et had an increasing trend for all diseases, for both data from the 43 health files data and data from the HDC, but a disease of Udon Thani had a clearly decreasing trend, I do not understand and no sure which disease is a disease of Udon Thani

Answer: This sentence was rewritten as; “All work-related diseases and injuries of the 43 health files data and almost all of those of the HDC data had increasing trends from 2014 to 2016.”

**Competing Interests:** No competing interests were disclosed

Reviewer Report 04 July 2022

https://doi.org/10.5256/f1000research.76861.r141342

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Donald Cole 1

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For a global audience, the first paragraph is less relevant than the 2nd. I would suggest moving it down to a Thailand specific focus before the HDC paragraph.

In the literature review paragraph, you might start by citing some of the reviews of the range of agriculture and health in general before going into each disease-disorder-injury type.

Re the HDC, need some more background about its role in surveillance or health data in Thailand.

A case-control study does not usually result in prevalence estimates. Clarify how this might provide such an estimate.

Good to have methods of identification of the above. Seems like preliminary work to this paper. Might it be better as an early part of methods?

This work is clearly important for Thailand. How might it be important globally, in other MICs for example? How does it relate to the Global Burden of Disease work e.g. on low back pain? Something more generalizable as a scientific objective is needed. For example, it seems that there are two: one around work-related injury and disease surveillance methods, in which the paper makes a contribution; and one around differential burdens in different settings and exploration of
reasons.

Great use of a registered farmer - farmworker data set. Any sense what the breakdown would be i.e. proportions with different access rights to land? employers or employees?

Not sure what you mean by "more real" agriculture? what is "less real" agriculture? How did you decide on the occupational codes in table 1?

In some jurisdictions, farmer suicides from pesticides are considered work-related, as reflect the difficult situation of many farmers, with cash and debt squeezes which force them into suicide as one of few options. And pesticides are associated with depression. Please justify your exclusion e.g. more of a narrow safety view of exposure.

Can you provide some sense of the completeness of coding of health conditions etc. This is often the challenge with regards to occupational disease and occupational injury occurrence.

Data analysis, last two sentences of first paragraph should be edited together. Formula "of" or "in" rather than "at" area of interest.

I found it confusing to have the number of registered farmers in the same table as number of HDC farmers. the latter is the denominator for table 3 and Figure 1, while the former is the denominator for subsequent analysis shown in table 4, where this denominator of registered farmers should be included.

Not sure that the interprovincial comparisons are as important globally as they may be internally for national and provincial policy makers. Less emphasis perhaps, unless going to speak to the heterogeneity across regions within countries globally, and some of the reasons for such heterogeneity e.g. industrial sector profiles, meteorological conditions, etc.

Agree that under-reporting common in surveillance. More reference to this methodological literature, the strengths and weaknesses of different systems, particularly for work-related injuries and diseases is needed.

What factors might explain the increasing morbidity trends? Is more awareness, education and diagnosis occurring? more exposure? Need some exploration of reasons. Any data sources that might inform this discussion?

Currently much repetition of results here, without linkage to the global literature. How do the rankings here compare with other country rankings of morbidity among agriculturalists? and why, do you think.

Why do you think health service providers do not record occupation in Thailand? How does it compare globally?

Really appreciate the data availability statement and your work in this regard. The OSF tables are more other versions of the results, however.

Is the work clearly and accurately presented and does it cite the current literature?
Partly

**Is the study design appropriate and is the work technically sound?**
Yes

**Are sufficient details of methods and analysis provided to allow replication by others?**
Partly

**If applicable, is the statistical analysis and its interpretation appropriate?**
Yes

**Are all the source data underlying the results available to ensure full reproducibility?**
Partly

**Are the conclusions drawn adequately supported by the results?**
Partly

**Competing Interests:** No competing interests were disclosed.

**Reviewer Expertise:** Work and environment related conditions and interventions to deal with them. In particular, WMSD, pesticide and fungal disease surveillance.

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard, however I have significant reservations, as outlined above.

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**Author Response 20 Dec 2022**

**Sunisa Chaiklieng**

Dear Prof. Dr. Donald Cole

According to your comments, we have much appreciate and have improved paper following your suggestions, as attached version. The clarification for each question as following.

1. For a global audience, the first paragraph is less relevant than the 2nd. I would suggest moving it down to a Thailand specific focus before the HDC paragraph.

   **Answer:** It was improved as your suggested, thank you [see Introduction]

2. In the literature review paragraph, you might start by citing some of the reviews of the range of agriculture and health in general before going into each disease-disorder-injury type.

   **Answer:** The literature review paragraph was improved following your suggestion [see Introduction].
3. Re the HDC, need some more background about its role in surveillance or health data in Thailand.

**Answer:** Background of the role in the surveillance of health for the HDC in Thailand was added in Introduction (see Introduction)

4. A case-control study does not usually result in prevalence estimates. Clarify how this might provide such an estimate.

**Answer:** The uncleared sentence as question regarding the case-control study was improved as rewritten as “The case-control study of registered agriculturists in Khon Kaen confirmed that the noise-induced hearing loss cases from the 43 health files data had been diagnosed through the audiometer test of those agriculturists [28],” thank you for this comment for improvement.

5. Good to have methods of identification of the above. Seems like preliminary work to this paper. Might it be better as an early part of methods?

**Answer:** The preliminary work or our previous investigation to estimate the morbidity rate of occupational diseases by using the big data of ICD-10 codes among registered agriculturists was the retrospective study as the references [24-26, 29]. The case-control study was designed in the on one study of the hearing loss to identify the risk factors among registered farmers [27]. The reference of method was cited in the method section for ICD-10 codes of occupational disease groups and for analysis of the morbidity rate, i.e, reference 24, the study among registered farmers of Nongboulamphu province, thank you for the suggestion.

6. This work is clearly important for Thailand. How might it be important globally, in other MICs for example? How does it relate to the Global Burden of Disease work e.g. on low back pain? Something more generalizable as a scientific objective is needed. For example, it seems that there are two: one around work-related injury and disease surveillance methods, in which the paper makes a contribution; and one around differential burden in different settings and exploration of reasons.

**Answer:** From the big data analysis from the 43 health files is clearly identified cases of occupational disease to estimate the morbidity rate by extracted ICD-10 code of occupational disease, for example, code M545 of the registered farmers holding cultivating activity who was matching national ID to the accessed healthcare farmers. The previous study among crop farmers [reference 24] could show the number of low back pain cases of 4,814 cases in 2016 that reflected the highest morbidity rate of WMSDs (21.73%) among all occupational diseases in registered farmers. The study discovered also lung diseases, which was not reported in HDC database as the occupational disease.

The real strong point of the paper is the linking the identified cases to the full farmers population and the outcome is showing the magnitude of risks of the agricultural farmers, which is a large part of the workforce in not only in Thailand but throughout globally. Even in highly developed countries hazards in agriculture are usually under-estimated, and
negative outcomes not identified due to compensations mechanisms as we mentioned in the discussion continued from the background of Thai labor protection and compensation among agriculturists who are informal workers in Thailand. This study used two provinces of Roi-Et and Udon Thani which are two of the provinces among the top five provinces engaged in cultivating activities in the Northeast of Thailand and the major of planting area and numbers of farmers in Thailand. The population of agriculturists in those two provinces represented Thai agriculturist as the nature of the impact from agricultural work which was found to be similar across from other areas of Thailand because the main product of all regions in Thailand was rice. Therefore, this paper could make a contribution to the disease surveillance method of work-related injury and diseases as we improved written more focusing in the abstract and discussion and the conclusion.

7. Great use of a registered farmer - farmworker data set. Any sense what the breakdown would be i.e. proportions with different access rights to land? employers or employees?

Answer: The most recent agricultural census, conducted every ten years, was conducted in 2013. In each province of the nation, data collection will take place simultaneously by sending around 20,000 officers, 17,000 counting personnel/employees, 3,000 academic staff, and farmland holders/heads of each household to conduct interviews to compile fundamental data about agricultural operations. These details will be utilized to choose appropriate agricultural properties and conduct a meeting with qualified details (enumeration). That strongly confirm that the registered farmers in Thailand are representative agricultural farmers as occupation, resulting the most true population at risk on occupational hazards exposure for this study. Roi-Et and Udon Thani which are two of the provinces among the top five provinces engaged in cultivating activities in the Northeast of Thailand were selected as representative provinces. The major of planting area and numbers of farmers in Thailand located in Northeast of Thailand, and the main product of all regions in Thailand was rice as presented in Roi-et and Udon Thani.

For accessing of healthcare, this study, by utilizing the 43 health files data of the ICD-10 code of occupational cases and injuries during 2014 to 2016, it was found that there were 53,794 total cases (34.82%) of registered farmers who had accessed healthcare in Udon Thani, and 77,438 total cases (37.32%) in Roi-Et, that was not much different of those provinces. For the cases visited of healthcare as shown as additional results, the characteristics of those cases of registered farmers in Roi-Et and Udon Thani provinces, the largest proportion of cases in Roi-Et were working on rice plantations (98.26%), as well as in Udon thani (89.1%). Those showed only in numbers of the difference because of cultivating activities were different during off-rice season as we discussed.

Thai’s agribusiness is clear content with contact farming laws which registered farmers are taken care of by government benefits such as price insurance. This study year have no statistics of agribusinesses number because agricultural census is providing the survey of agricultural holdings and will be report in 2023. The updated agricultural census was reported about statistics of agribusinesses which they provided by survey the registered farmer. At the present, there are no contact farming entrepreneur registered with the government in Roi-Et and Udon Thani.
8. Not sure what you mean by "more real" agriculture? what is "less real" agriculture? How did you decide on the occupational codes in table 1?

**Answer:** Roi-Et and Udon Thani are two of the provinces among the top five provinces engaged in cultivating activities in the Northeast of Thailand (comprising more than 97.0% of agricultural holdings) as explained before, therefore, the word of “more real” was deleted to be clear from the design of this study.

For the occupational codes in table 1 was the recorded code of occupation in HDC database as we already explained in method section; All codes of farmers presented in Table 1 are the cultivating farmers or involved activities in cultivated farming as the reference code of farmers from the Health Data Center (HDC) ([https://hdcservice.moph.go.th/hdc/main/index.php](https://hdcservice.moph.go.th/hdc/main/index.php)) and confirmed by the IT personal responsible of coding and the agriculturist expertise.

9. In some jurisdictions, farmer suicides from pesticides are considered work-related, as reflect the difficult situation of many farmers, with cash and debt squeezes which force them into suicide as one of few options. And pesticides are associated with depression. Please justify your exclusion e.g. more of a narrow safety view of exposure.

**Answer:** This study aware of the suicide from pesticide and we already excluded the cases not be accounted to the morbidity rate of pesticide toxicity as shown at notes in Table 2. In addition, the case of patient death during the study year which was accessed from file number 3 of the 43 health files were excluded from the visited cases in this study.

10. Can you provide some sense of the completeness of coding of health conditions etc. This is often the challenge with regards to occupational disease and occupational injury occurrence.

**Answer:** The occupational codes of diseases and injuries used in this study methods are referred to reference code used for Occupational diseases and injuries of HDC database that is the limitation of the secondary analysis. Therefore, those codes were covered as used for the occupational diseases and injuries from the 43 health files data. However, we can provide codes found from the previous studies of 43 health file data and published papers (in Thai) of ICD-10 codes of health conditions of agriculturists, for example; the lower respiratory tract disease (lung diseases): J62, J630-J638, J660-J679, J68, J40-J47, WMSDs: M00-M99, M542, M545, M548, M60, M624, M653, M792, M796, G560, hearing loss and heat illness: H833, H903-H905, T670-T679, T68, and for pesticides toxicity (T600, T601, T602, T603, T604, T608, T609), which recommended more to add specified skin diseases: L01, L02, L230-L239, L240-L249, L250-L259, L303, L502, L503, L55, L560-L569, L904. Those occupational code found and confirmed report from cultivated agriculturists [as Reference no 24, 25,26,29,47] as we discussed.

11. Data analysis, last two sentences of first paragraph should be edited together. Formula "of" or "in" rather than "at" area of interest.

**Answer:** It was corrected as follow;
12. I found it confusing to have the number of registered farmers in the same table as number of HDC farmers. The latter is the denominator for Table 3 and Figure 1, while the former is the denominator for subsequent analysis shown in Table 4, where this denominator of registered farmers should be included.

Answer: The numbers of registered farmers of Roi-Et and Udon-Thani referred to Table 3 were corrected as presented for Table 4.

13. Not sure that the interprovincial comparisons are as important globally as they may be internally for national and provincial policy makers. Less emphasis perhaps, unless going to speak to the heterogeneity across regions within countries globally, and some of the reasons for such heterogeneity e.g., industrial sector profiles, meteorological conditions, etc.

Answer: Thank you for this point and that is agree with the design of this study and the results, we have improved the abstract and conclusion to be less emphasis of interprovincial comparison, but representative the rate or trend of morbidity rate of health problems of Thai agriculturist was presented, as shown in the Abstract and Conclusion. For the discussion in the morbidity rate of different, it is mostly pointed for WMSDs which showed the contradictory rate and ranking orders. The heterogeneity across regions within countries, the agricultural productivity while off-rice seasoning was more discussed also for pesticide toxicity.

14. Agree that under-reporting common in surveillance. More reference to this methodological literature, the strengths and weaknesses of different systems, particularly for work-related injuries and diseases is needed.

Answer: We confirm the previous studies (24-25) and the preliminary results of the southern Roi-Et (26), of the under-reporting cases from the surveillance system of occupational diseases (44) as discussed. This study showed one paternal disease in HDC database, by the method of extracted ICD-10 coded of J40-J47, could be done from the 43 health files data matching to the agriculturists. The strength of Occupational diseases surveillance in the HDC database is the platform cases recorded from the Universal healthcare service which is usually covered for Thai population and farmers in Thailand. However, there were some weakness, 1) at time of this study, is that the recording of occupational disease was not mandatory but depended on the decision of hospital management and resources. Work-related disease effects on examination and treatment were not confirmed, for example, in the case of silicosis; it has symptoms similar to tuberculosis (TB), therefore, the report might be missing because it was not confirmed by silica dust exposure in the workplace from
stone and sand, or mining or sculpture, or working in the cement industry. Moreover, for example lung diseases, infectious diseases, had not been included in the HDC database of Thailand as types of occupational disease. 2) The accuracy of the health databases varies based on the hospital level; for instance, data from hospitals at the provincial level are more accurate than those at the district level and all collected data in this study are summations of sources of data at the provincial level, which are sent to the health data centre at the national level.

3) The occupational codes for farmers as shown in Table 1 in HDC database seems to be complicated for recording during routine work for healthcare providers. For this weakness, the second method to use the number of registered agriculturists can be solve the more strength method.

The strength of the method utilizing the ICD-10 from the 43 health database are the following; 1) the morbidity rate of lung disease estimation which can be provide among registered farmers by matching personal ID of cases to those farmers in agricultural database.

2) As we found the morbidity rate for WMSDs was the highest concerned diseased among all diseases, WMSDs ICD-10 codes in Table 2 were categorised in the health surveillance system, but they only allow for the knowledge of disease rates and cannot be used to identify the organs that are affected in WMSDs as we have shown from the recent study report [47; the WMSDs: codes of diagnosis were M00-M99, M542, M545, M548, M60, M624, M653, M792, M796, G560], 3) limitation of diagnosis which is depended on the accessed healthcare service level. That is equal from the HDC database and the 43 health files method. 3) Another weakness to be concerned from the disease surveillance system of the use of ICD-10 code with occupational causes, for example, pesticide toxicity, a previous study in Roi-Et province found that ICD-10 identification and reports on the disease surveillance system with external code Y96 (work-related condition) were missing, as were reports in severe cases of pesticide poisoning with T-toxicity coding (44). Therefore, pesticide toxicity was shown to be underestimated in regard to occupation, particularly that of cultivating farmers, and the analysis utilised ICD-10 from the 43 health file data could include more cases without code Y-96 to estimate the rate.

Thailand's public health policy should include ICD-10 code L (skin disease) and code J (lung diseases) to identify the acute and chronic effects of pesticide toxicity among applicators, as recommended in our previous study of cultivating farmers in Sakon Nakhon province (26). By that considered ICD-10 code L, the stronger method of 43 health files data can provide manually extract code ICD-10 of the cases of the registered farmers.

15. What factors might explain the increasing morbidity trends? Is more awareness, education and diagnosis occurring? more exposure? Need some exploration of reasons. Any data sources that might inform this discussion?

Answer: From both data source, and we provided more data as shown in underlying data, Table D, and the discussion continued to the conclusions, the trend of increasing rate of diseases was presented. It can be explained from the main factors of health hazards exposure from cultivation activity and types of plantations affecting the different diseases rates of the two province- case study as discussed for pesticides toxicity and WMSDs
increasing trend in the Discussion as the follow.

“Regarding agricultural productivity in the study area, the Office of Agricultural Economics reported that cassava, rubber, and rice had the highest productivity between 2016 and 2019 (48), as found in the case characteristics of this study. That could support results concerning the significant increase in morbidity rates of all occupational diseases and injuries in Roi-Et from 2014 to 2016; this increase was also found in Udon Thani, particularly in regard to pesticide toxicity. Pesticide toxicity has been shown to be a potential disease of the agricultural sector of Thailand, and as discussed, that problem was exacerbated by the trend of increasing pesticide imports, particularly herbicide imports, during the 5-year period from 2013 to 2017 (49).”

....“However, from our data of the cases extracted from the 43 files of the most recent year, 2020 [47], it was confirmed that WMSDs had an increasing trend and a higher morbidity rate in Roi-Et than in Udon Thani province. Intriguingly, it was found that between the years 2014 and 2016, the province of Roi-Et had the fourth-highest tonnage of inland rice production in the country (48). In comparison to Udon Thani, Roi-Et’s in-season rice yield was approximately 2-3 times higher (50). This study discovered from the 43 health files data that the WMSDs rate in Roi-Et was greater than that in Udon Thani province, presumably because rice farming requires more body exertion in various agricultural activities throughout the year than farming of other crops, e.g., cassava and sugar cane, which is planted more in Udon Thani.”

16. Currently much repetition of results here, without linkage to the global literature. How do the rankings here compare with other country rankings of morbidity among agriculturalists?

`Answer: `The discussion was improved by linking to the global literature and compared with the ranking of morbidity among agriculturist as in section of discussion as the follow; “For noise and heat related disease, the finding rate was 100 times lower compared to of the America (5, 7), which is similarly lower direction found in the rates of injuries and pesticide toxicity when compared to those found in Napal (10) and China (16). The closest rate to globally was that for the cases of lung disease which is lower than 2 times lower than in the south Africa. This finding too low morbidity rate from the health database of Thai agriculturists when comparing to those global rates might be explain the underestimation of the morbidity of the occupational disease and injuries. As we have a real strong point of the paper from the method from 43 health file big data analysis linking the identified cases to the full farmers population and the outcome is very showing the magnitude of risks of the agricultural farmers, which is a large part of the workforce not only in Thailand but throughout Asia and globally.

17. Why do you think health service providers do not record occupation in Thailand? How does it compare globally?

`Answer: `By HDC, the occupation code is available for case visited for healthcare service as we use the code of farmers involved plantation activities during the period of in this study, however, the many codes available to be selected to be represented farmers occupation as
shown in Table 1 which is complicated for the healthcare providers to add in the record. There are also codes of unemployed, general employed, underling person, or no occupation, that could be selected for this filed, which made missing/misclassification in database. The previous study of pesticide poisoning reports among showed incomplete records of occupational cases in **45.14% of all cases**, and misclassification case report of agriculturists as occupation code was found in **37.03% of cases in Skon Nakhorn** from our previous case-control study [Chaiklieng, S.; Khamjantarat, P.; Suggaravetsiri, P. Factors associated with disease from pesticides toxicity among planting farmers in Sakon Nakhon Province. *In: Proceedings of The 9th National Conference in Toxicology (NCT9), Update in toxicology for safe life. 25-26 September 2019, 109-21*]. Moreover, there were key concerns regarding public health personnel being responsible for multiple tasks, without any understanding of occupational disease codes, and never having received training for occupational disease recording [44].

As you agreed of under-reporting, and I am sure that even in highly developed countries, hazards and risks in agriculture are usually under-estimated, but negative outcomes not identified due to many reasons, i.e., lack of legal, control and compensations mechanisms. By those reasons, almost no recently update report to compared since 2004 after one study mentioning to that occupational history which was frequently neglect occupational history-taking by the physicians, and the physician had completed an occupational history-taking from only **27.8% of patients** (Politi et al. Occupational Medical History Taking. JOEM 2004;46:550-5), which is very low and also lower rate compared to our previous finding in Thailand [44].

18. Really appreciate the data availability statement and your work in this regard. The OSF tables are more other versions of the results, however.

**Answer:** We added more available results in Table D (underlying data): Characteristics of cases of work-related diseases and injuries among registered agriculturists in Roi-Et and Udon Thani provinces, in the upper northeast of Thailand. All data presented in Table A-D could be useful to learn more in numbers and rates or trend of occupational disease and injuries from this case study. The characteristic of cases of registered farmers, the numbers of cases from 43 health files data and the HDC database and the farmers or registered farmers in each year from our big data analysis during the study period could be useful to understand by other analysis or presentation of the readers or the researchers, because we did not show all rates presentation of the previous years (2014- 2015) in the paper, excepted 2016. Importantly, the data described in this study are accessible from the corresponding author upon request. Due to confidentiality concerns, the data are not publicly available, as mentioned in the paper.

**Competing Interests:** No competing interests were disclosed
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