Optical characterization of epidermal cells and their relationship to DNA recovery from touch samples [version 1; peer review: 2 approved]

Cristina E. Stanciu, M. Katherine Philpott, Ye Jin Kwon, Eduardo E. Bustamante, Christopher J. Ehrhardt

Department of Forensic Science, Virginia Commonwealth University, Richmond, VA, 23284, USA

Abstract
The goal of this study was to investigate the relative contributions of different cellular and genetic components to biological samples created by touch or contact with a surface – one of the most challenging forms of forensic evidence. Touch samples were generated by having individuals hold an object for five minutes and analyzed for quantity of intact epidermal cells, extracellular DNA, and DNA from pelleted cell material after elution from the collection swab. Comparisons were made between samples where individuals had washed their hands immediately prior to handling and those where hand washing was not controlled. The vast majority (84-100%) of DNA detected in these touch samples was extracellular and was uncorrelated to the number of epidermal cells detected. Although little to no extracellular or cell pellet-associated DNA was detected when individuals washed their hands prior to substrate handling, we found that a significant number of epidermal cells (between $\approx 5 \times 10^3$ and $\approx 1 \times 10^5$) could still be recovered from these samples, suggesting that other types of biological information may be present even when no amplifiable nuclear DNA is present. These results help to elucidate the biological context for touch samples and characterize factors that may contribute to patterns of transfer and persistence of genetic material in forensic evidence.

Keywords
forensic science, flow cytometry, extracellular DNA, epidermal cell, touch DNA
Introduction

‘Touch’ or trace DNA samples represent a significant portion of evidence submitted to forensic caseworking laboratories. Understanding the mechanisms of DNA transfer through touch and developing methods to maximize the level of DNA recovery from contact surfaces is a continuing priority for the forensic science community. Historically, the quantity of DNA found in a contact sample was thought to be primarily based on the number of cells that people shed naturally from the outermost layer of skin. This concept continues to be perpetuated in the forensic community and analysts still testify to this effect.

However, recent studies have shown that touch samples can also contain ‘cell-free’ or extracellular nucleic acids (referred to as CNAs, eDNA, or cDNA; in contrast to intracellular DNA or iDNA) that could be derived from a variety of sources such as sweat and oil secretions. Additionally, it has been suggested that small amounts of saliva can be transferred through touch which may be a source of both cell-free DNA and intracellular DNA (via nucleated buccal cells) to a contact sample.

Although there are many possible sources of genetic material in touch evidence, the proportion of cellular and extracellular components is currently unclear. A recent survey of casework samples reported that more than 70% of contact samples contained extracellular DNA, which often provided an added value to the short tandem repeat (STR) profile generated from the pelleted cellular material. The study also found that the relative proportion of extracellular DNA to the total amount of DNA in each sample varied considerably.

In addition to understanding their relative contributions to contact samples, the forensic community would also benefit from determining whether different factors affect the deposition and persistence of epidermal cells and extracellular DNA on touched surfaces. Addressing these issues has important implications for optimizing DNA collection techniques as well as developing alternative analytical strategies for processing caseworking samples (e.g.,). Therefore, the goal of this study was to investigate the relative contributions of extracellular and intracellular DNA and their relationship to the quantity of cells recovered from touch samples under controlled conditions, and assess how the transfer and recovery of each type of biological material may be influenced by particular actions of the individual contributor. To accomplish this, we used flow cytometry for precise and non-destructive measurements of touch samples that were simultaneously processed using standard caseworking techniques for DNA analyses.

Methods

Sample collection

For initial imaging studies, two individuals were asked to hold a sterile conical tube (P/N: 229421; Celltreat Scientific) in one hand for five minutes. Samples were collected from the tube surface with one sterile, pre-wetted swab (P/N: 22037924; Fisher Scientific) followed by one dry swab. To elute the cells into solution, the swabs were manually stirred then vortexed for 15 seconds in 1 mL of Sterile DNase-Free, Protease-Free Water (P/N: BP24701; Fisher Scientific). All procedures for participant solicitation and consent for human subject research were approved by the VCU-Institutional Review Board (ID# HM20000454_CR).

For experiments involving comparisons of cell and DNA yields between washed and unwashed hands, two sets of two samples (one tube in each hand) were collected from eight individuals using the protocol described above: the first set of 16 was collected before hand washing, and the second after washing hands with soap and water for 20 seconds and air drying. A 20μL aliquot of each 1mL cell solution was used in subsequent flow studies (including cell enumeration), and the remaining 980μL was used for DNA studies.

Another 20 samples were collected without any control for hand washing from these eight donors, along with three additional donors, using the protocol described above. The entirety of each of these samples was processed for DNA.

Microscopic imaging

In order to separate intact cells from debris and cellular fragments for imaging purposes, after passing cell suspensions through a 100 μm mesh filter, Fluorescence-Activated Cell Sorting (FACS) was performed on the BD FACSARia™ IIu (Becton Dickinson) flow cytometer using a 488 nm Coherent solid-state laser. Channel voltages were set as follows: FSC, 50V; SSC, 200V. Events falling into gate “K” (see Figure 1) were sorted into a new tube, then imaged using the Amnis® Imagestream X MK II Software (EMD Millipore) by activating the Bright Field channel. Pictures were analyzed and exported with the IDEAS® Software v6.1 (EMD Millipore).

Figure 1. Optical characterization of a touch sample. Cell events fall into two distinct populations along the Forward Scatter (FSC) and Side Scatter (SSC) axes: intact cells (‘K’) and cell debris (‘D’). Right insets show images of individual events within the K population. Scale bar=7 μm.
Flow cytometry analysis and cell enumeration

Cell suspensions were passed through a 100 μm mesh filter prior to flow cytometry analysis on the BD FACSCanto™ II analyzer (Becton Dickinson) using 488 nm and 633 nm lasers. The channel voltages were set as follows: FSC, 150V; SSC, 200V; FITC, 335V; PE, 233V; PE-Cy5, 300V; PE-Cy7, 400V; and APC, 250V. Data acquisition was performed using the FACSDIVA Software v8.0.1 (Becton Dickinson) and analyzed using FCS Express 4.0 (DeNovo).

In order to precisely quantify the cells in our samples during flow analysis, we spiked our cell solutions with a known concentration of 123 eBeads (01-1234-42; Affymetrix eBioscience), fluorescently-labeled microparticle standards that are 7 μm and easily distinguishable from our target cell population both in size and fluorescence (FITC, PE, and APC channels). The ratio of cells to beads was then used to determine the concentration of cells in the sample through the following formula:

\[
\text{Absolute Count (cells/μL)} = \frac{(\text{Cell Count} \times \text{eBead Volume}) \times \text{eBead Concentration}}{(\text{eBead Count} \times \text{Cell Volume})}
\]

The concentration of cells in the 20μL aliquot was then used to estimate the total number of cells present in the entire volume of eluent (1mL) recovered from the collection swabs. Flow cytometry analysis of bead standards was conducted on events detected within the ‘K’ gate for each sample. The procedures for detecting and differentiating eBeads from target cells followed the manufacturer’s suggested protocol (http://www.ebioscience.com/media/pdf/tds/01-01-1234.pdf).

Isolation and extraction of extracellular and cell pellet DNA

Once an aliquot was removed for cell quantification, the remaining cell suspension was transferred to a new 2mL collection tube and centrifuged at 10,000 ×g for five minutes at room temperature. The supernatant was added to a pre-washed Amicon filter (UFC210024; EMD Millipore). The remaining pellet was washed with 500 μL of sterile water twice, each time adding the supernatant to the Amicon filter. The combined supernatant fraction was then centrifuged at 3,220 ×g for 30 minutes, followed by a wash step in 2 mL 1xTE Buffer (P/N 50-843-203; Teknova). The DNA was collected by inverting the filter and centrifuging at 1,000 ×g for 2 minutes at room temperature. The final volume of the eluted retentate was approximately 20 μL.

Additionally, in order to maximize the recovery of cell material and/or DNA, the wet swab tips were placed in a spin basket (P/N 19597; Investigator Lyse & Spin Basket Kit; Qiagen) immediately following the initial elution, and centrifuged at 10,000 ×g for 5 minutes at room temperature (absent any additional reagents). The resulting liquid eluent that passed through the spin basket was added to the supernatant solution (prior to Amicon filtration) and remaining cell pellet in the spin basket was dissolved in ~50 μL of sterile water, combined with its respective fraction, and subjected to DNA extraction using the following protocol. The cell pellet material was incubated with 500 μL Cell Lysis Buffer (P/N BDB559759; BD Pharmigen) and 10 μL Proteinase K (P/N EO0491; Fisher Scientific) in a 56°C water bath for 17 hours. The sample was then centrifuged at 10,000 ×g for 5 minutes at room temperature. The supernatant was purified with an equal volume of UltraPure Phenol: Chloroform:Isoamyl Alcohol (P/N 15593-031; Life Technologies (25:24:1, v/v)), then 1xTE Buffer, and finally concentrated to a final volume of 20–40 μL using a pre-washed Amicon filter.

**DNA quantitation**

DNA quantitation was performed using the Investigator Quantiplex® Human Kit (P/N 387016, Qiagen) coupled with the ABI Prism 7500 Sequence Detection System (Applied Biosystems). A 25 μl reaction was used for all samples following manufacturer’s suggested protocol (‘Investigator Quantiplex Handbook’, www.qiagen.com).

**Results**

Initial characterizations of touch samples with flow cytometry showed two distinct size fractions (‘K’ and ‘D’ populations in Figure 1). Size and morphological information derived from AMNIS images of the K fraction from two touch samples revealed that this population was consistent with fully differentiated keratinocytes (i.e., corneocytes) ~20–40 μm in diameter, while the D fraction was consistent with cellular debris/fragments. Other epithelial cell types (e.g., buccal cells >60 μm) were not observed among the AMNIS images captured (Figure S1, Figure S2).

Cell counts and DNA yields were compared across 31 touch samples generated from eight different individuals that used both dominant and non-dominant hands to hold the substrate. To investigate the effect of hand washing on the transfer of cellular and extracellular components of a touch sample, half of these samples were collected after donors had washed their hands and the other half without immediate hand washing.

An estimated ~5×10³ to ~1×10⁵ cells were recovered from washed hand samples, versus ~1×10³ to ~8×10⁴ cells from unwashed hand samples (Figure 2; Table S1). Overall, we observed greater transfer of cells in the washed hand samples than the unwashed hand samples (median of 2.5×10⁴ cells vs. 8.6×10³ cells, respectively). Despite the often high recovery of cells from touch samples, DNA recovery from the cell pellet was consistently low, whether from washed or unwashed hands. DNA was detected in the cell pellet of one unwashed hand sample (0.220 ng) and three washed hands samples (0.049, 0.042, 0.060 ng). No DNA was detected in any of the other cell pellets.

In contrast, consistent differences were observed in eDNA recovery from samples generated from washed versus unwashed hands. Little to no DNA was recovered from the extracellular fraction of touch samples left by donors who had washed their hands, with quantitation values ranging from zero to 0.242 ng (Figure 2c). In samples from unwashed hands, extracellular DNA recovery varied between zero and 4.646 ng (Figure 2a). There was no apparent correlation between the number of cells and the quantity of DNA recovered from the samples (either eDNA or cell pellet). Neither could DNA recovery with or without hand washing be correlated to hand dominance, in contrast to findings by others[1].

The additional 20 samples tested for relative quantity of eDNA versus intracellular DNA produced results that are consistent with the
above findings (Table 1, compilation of all samples without hand washing (n = 35)). In samples where DNA was detected, the total proportion of eDNA ranged from 84–100% with the majority of the samples at or near 100%.

**Discussion**

Our results contribute to the forensic community’s growing body of knowledge on touch samples. We found that the vast majority (~84–100%) of nuclear DNA recovered from touch samples collected under the conditions described above is extracellular. Amplifiable DNA from the pelleted cellular fraction was detected in only eight of the 51 touch samples analyzed (Figure 2, Table 1).

Although this finding is generally consistent with other recent studies suggesting the significance of extracellular DNA in touch evidence.8, the prevalence and proportion of extracellular DNA relative to the total DNA yield shown in Table 1 was higher than observed in other studies. It is possible that the multiple wash steps performed on the pelleted cell material for this study removed more eDNA than efforts utilizing a single wash. In a separate analysis of seven replicate samples, we found that additional eDNA was often recovered with additional wash steps, and concurrently, that a clear systematic cell loss at each wash step was not observed—a Student’s t-test on cell counts before and after three wash steps yielded an average p-value of 0.28 with only two of the individual replicates yielding p-values less than 0.01 (Table S2). This suggests that while some cells may have been unintentionally removed from some cell pellets by our methodology, this phenomenon is unlikely to explain the consistent increased DNA recovery in the supernatant with additional washes across samples.
The nature of the samples likely played a role as well, as there may have been more opportunities to pick up nucleated cells for some casework samples described in other research than our controlled conditions. The fact that the “typical” or “standard” touch sample evades definition poses a challenge when designing studies to better understand these kinds of samples. It has been suggested that saliva, which contains buccal cells, may be an important (i.e., DNA rich) component of some touch samples. We observed no evidence of such cells – which generally appear larger than corneocytes (>60 μm for buccal cells versus 20–40 μm for corneocytes) – in microscopic surveys of individual cells within two touch samples (Figure S1, Figure S2). However, this does not preclude the possibility that non-epidermal cells were present, since only a portion of the sample was surveyed, and because deformed or fragmented cells from different tissues may be indistinguishable from corneocytes. Future work could explicitly test for the presence of buccal cells in touch samples through, e.g., antibody hybridizations targeting tissue specific surface antigens coupled with flow cytometry.

The mechanism of touching could also affect the proportion of eDNA to iDNA in touch samples; our preliminary data from touch samples deposited by rubbing suggest that this action may result in considerably higher cell pellet yields than samples deposited by holding, perhaps by exposing deeper (i.e., undifferentiated) layers of cells. However, in these preliminary experiments we also observed that the amount of eDNA left by rubbing the substrate was similar to levels of eDNA left by holding. This suggests that the transfer of eDNA may not be as affected by the manner in which a substrate was handled as iDNA transfer.

In any case, our results lend further support to the concept that extracellular DNA is particularly crucial to the analysis of touch samples. Measures should be explored to exploit this source of information to the greatest extent possible. For sample collection and processing purposes, this may dictate that touch samples be treated differently than other types of forensic biological sample. To avoid the significant loss of DNA that may be associated with extraction, it may make sense to process the eDNA-containing supernatant separately via direct amplification; our results suggest that care should be taken to maximize the amount of eDNA washed into the supernatant.

Our finding that the number of cells in touch samples was uncorrelated to the amount of extracellular DNA or the total DNA yield suggests that not only is the recoverable DNA primarily extracellular but that it is not immediately derived from the large numbers of epidermal cells that are shed daily. DNA was not detected in the cell pellet of samples that contained more than 100,000 cells, while samples comprised of far fewer cells (~2000) yielded DNA. Our finding that the number of cells in touch samples was uncorrelated to the amount of extracellular DNA or the total DNA yield suggests that not only is the recoverable DNA primarily extracellular but that it is not immediately derived from the large numbers of epidermal cells that are shed daily. DNA was not detected in the cell pellet of samples that contained more than 100,000 cells, while samples comprised of far fewer cells (~2000) yielded DNA. 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of extracellular DNA in touch samples is likely derived from alternate sources such as oil and sweat secretions, or saliva\textsuperscript{1-3}. Where intracellular (i.e., cell pellet) DNA levels from touch samples are considerably higher than those observed in this study, a nucleated cell source (i.e., non-epidermal, or more basal epidermal) may be implicated, though certain skin conditions are known to result in the aberrant retention of nuclear DNA in corneocytes\textsuperscript{15}.

Although hand washing resulted in the transfer and subsequent recovery of little to no eDNA, we found that cells were nonetheless transferred. In fact, we observed greater levels of cellular transfer among washed hand samples than unwashed hand samples. It is possible that the act of hand washing loosens or sloughs off corneocytes, and that these cells (because of their flattened morphology) are more likely to persist through the washing process than eDNA. Regardless of the explanation, an estimated thousands to hundreds of thousands of cells survived the hand washing process to be transferred from the palmar surface by simple touching.

Consistent with Locard’s principle, while these shed corneocytes may not contain sufficient levels of nuclear DNA to generate a probative STR profile, there is the possibility that other, non-genetic signatures could be analyzed, so that the most challenging touch samples (i.e. those that contain little to no DNA) may provide forensically relevant information. For example, the average size of individual corneocytes has been shown to vary with source factors such as age, sex, and anatomical region\textsuperscript{10-12}, as does the composition of intracellular cytokeratin components\textsuperscript{13}. While further research is of course necessary to assess the degree of inter- and intra-individual variance in particular cellular features, determining such source attributes from unknown contributors could potentially provide leads or exclude suspects in specific types of investigations, e.g., sexual assault, molestation. Further, the absence of amplifiable nuclear DNA in corneocytes does not necessarily preclude the presence of sufficient levels of mitochondrial DNA to permit typing. Combining techniques to sort epidermal cells into donor populations (e.g., using factors described above) and typing the mtDNA of those populations is an avenue that warrants further exploration.

Overall, our observations suggest that many traditional explanations of DNA analysis from touch samples used in expert testimony – which often seek to explain the quantity and quality of DNA detected (or lack thereof) in terms of an individual’s inherent or circumstantial susceptibility to shed epidermal cells – may need to be modified to reflect fundamental shifts in the forensic community’s understanding of touch evidence. Future research efforts should continue to examine the relationship between the transfer of eDNA, iDNA, and intact corneocytes onto touch surfaces by testing other types of depositional circumstances, e.g., different substrate material or touch samples from multiple donors.

**Author contributions**
CE, CS, and KP conceived the study, CE, CS, EB, and YK designed the experiments, CS, EB, YK carried out the research. KP assisted with data analysis and provided expertise in the area of forensic DNA casework, CE, CS, and KP prepared the first draft of the manuscript. All authors were involved in the revision of the draft manuscript and have agreed to the final content.

**Competing interests**
No competing interests were disclosed.

**Grant information**
This project was funded by the National Institute of Justice Award number 2013-DN-BX-K033 (PI: Ehrhardt). Flow cytometry services in support of the project were provided by the VCU Massey Cancer Center, supported in part with funding from NIH-NCI P30CA016059.

*I confirm that the funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.*

**Acknowledgements**
The authors gratefully acknowledge Daniel Conrad, Julie Farnsworth, Qingzhao Zhang, and Tracey Dawson Cruz for providing technical assistance for this project.

**Supplementary materials**

**Figure S1.** Supplemental imaging results for individual events within the large cell fraction of touch samples. All cells were collected from the ‘K’ population shown in Figure 1. Scale bar=7 μm.

Click here to access the data.

**Figure S2.** Supplemental imaging results for individual events within the small cell fraction of touch samples. All cells were collected from the ‘D’ population shown in Figure 1. Scale bar=7 μm.

Click here to access the data.

**Table S1.** Source data showing DNA yield and cell counts for all analyzed touch samples. DNA quantitation and cell enumeration was performed as described in the Methods section. Unique sample names (e.g., ‘E14’) correspond to individual donors. ‘N/A’ indicates that cell counts were not performed on that sample. ‘ND’ indicates that DNA concentration was below the limit of detection, ~1pg/μl.

Click here to access the data.
Table S2. Source data showing cell counts from touch samples before and after three water wash steps. Cell enumeration was performed using fluorescently labeled microparticle standards (See Methods). Unique sample names (e.g., 'E14') correspond to individual donors. Replicate experiments using the same donors are designated by 'exp1' and 'exp2'.

Click here to access the data.

References

Antonio Filippini
Department of Anatomy, Histology, Forensic Medicine, and Orthopedics, Sapienza University of Rome, Rome, Italy

The manuscript “Optical characterization of epidermal cells and their relationship to DNA recovery from touch samples” by Stanciu CE et al. is focused on the “Touch DNA” topic and is aimed at investigating the relative contributions of extracellular and intracellular DNA and their relationship to the quantity of cells recovered from touch samples under controlled conditions. It also assesses how the transfer and recovery of each type of biological material may be influenced by particular actions of the individual contributor.

To this aim, the Authors used flow cytometry for precise and non-destructive measurements of touch samples that were simultaneously processed using standard caseworking techniques for DNA analyses. The well described analytical methods and results show that there was no apparent correlation between the number of cells and the quantity of DNA recovered from the samples, neither could DNA recovery with or without hand washing be correlated to hand dominance.

According to recent studies, they found that the vast majority (~84–100%) of nuclear DNA recovered from touch samples collected under the conditions described above is extracellular (i.e. oil and sweat secretions, saliva), and suggest that future work could explicitly test for the presence of buccal cells in touch samples through, e.g., antibody hybridizations targeting tissue specific surface antigens coupled with flow cytometry.

They also highlighted the peculiarities, and consequently the analytical challenges, of touch samples, thus suggesting these samples to be treated differently than other types of forensic biological sample as for sample collection and processing purposes; e.g. to avoid the significant loss of DNA that may be associated with extraction, it may make sense to process the eDNA-containing supernatant separately via direct amplification.

An interesting and innovative element represented in the manuscript is the possibility that non-genetic signatures could be analyzed, so that the most challenging touch samples (i.e. those that contain little to no DNA) may provide forensically relevant information. To this regard, it is reported that “… the average size of individual corneocytes has been shown to vary with source
factors such as age, sex, and anatomical region, as does the composition of intracellular cytokeratin components ... combining techniques to sort epidermal cells into donor populations (e.g., using factors described above) and typing the mtDNA of those populations is an avenue that warrants further exploration ...”.

Finally, as an interested researcher in the field of “Touch DNA”, I appreciate the efforts of the Authors in preparing this interesting manuscript, that is well written and follows a logical structure. I just have a minor suggestion to improve the manuscript, that is to include some STR profiles in order to show the quality of the DNA recovered from the analyzed samples.

**Competing Interests:** No competing interests were disclosed.

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.

[Reviewer Report 10 December 2015](https://doi.org/10.5256/f1000research.7959.r11361)

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Ram Gopal
Department of Cell and Molecular Biology, Uppsala University, Uppsala, Sweden

The manuscript “Optical characterization of epidermal cells and their relationship to DNA recovery from touch samples” by Stanciu CE et al. describes the genetic (nuclear DNA) and biological components (Cells and Cell debris) in samples created by touch or contact with a surface, both in controlled and non controlled situations. The question to understand and characterize the components of touch sample is of paramount importance in forensic science research. The manuscript attempts to decipher the mechanism of DNA transfer in touch samples and suggests methods to maximize the recovery of DNA from the touch samples.

On the cellular components side, the FACS coupled with microscopy technique was employed to explore the number and identity of the cells in touch samples. The cells in touch samples were found to be consistent with the keratinocyte morphology and size. This work also shows that after washing hands there is tendency to shed more cells in touch samples but the increase in the cell number is not correlated with the increase in DNA yield, rather there was no or very little DNA recovered after washing hands. Thus, this study provides evidence that there is no or very little DNA associated with the cellular component of the touch samples. It makes a strong case for the next important question to ask. How we can differentiate keratinocytes from different individuals?

As the authors suggests that other biological information still may be present on the keratinocytes, it opens up the possibilities of a new field for characterization of keratinocytes from touch samples. The manuscript's conclusion that the source of majority of DNA in touch samples comes from extracellular components, not from the cellular components, is in well agreement of
previous studies but the proportion of extracellular DNA is found to be higher in this study than the earlier reports. The manuscript is well written and presents the data in a logical way. Overall, the manuscript adds further knowledge to the body of knowledge existing in this field and I recommend this manuscript for indexation.

However I have following minor suggestions/comments to improve the manuscript.

1. No profiles, conventional or LCN, are shown to show the quality of DNA recovered.

2. It will be interesting to explore the contributions (both of DNA as well as Cells components) made by normal flora of human skin.

3. “....flow cytometer using a 488 ηm Coherent solid-state laser.... “ in this line and elsewhere the nanometer symbol should be written as ‘nm’.

**Competing Interests:** No competing interests were disclosed.

**I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.**

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